



Do PROs have incremental value in Oncology?

The case of QoL measures in breast cancer

Giovanni Apolone

"Mario Negri", Milan - Italy

Paris, May 11, 2004

Overview

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----	-----

- The speaker
- Use of PROs/QoL measures: Regulatory requirements and Agencies attitudes
- QoL in RCTs in breast cancer: a literature review
- QoL in RCTs in advanced breast cancer: is there added value?
- Conclusions

G. Apolone



- MD (1982), post doctoral degrees in internal medicine (1987) and clinical pharmacology (1992)
- At IRFMN since 1987
- Training period in U.S.A. (1989-1990)
- Head of Translational and Outcome Research Lab. (LaTOR)
- Expert at EMEA on anti-cancer drugs and HR-QoL (2000-)

My interests



- Methodological and ethics aspects of clinical research (on new drugs)
- Systematic reviews and meta-analysis (in collaboration with the Cochrane Center)
- Outcome Research projects (Anaemia in Elderly, Pain in Cancer, follow-up strategies in colo-rectal cancer)
- Educational, training and research activities with patients and advocacy associations (in Italy and in Europe)

My Conflicts of interests



Research support

- Italian and international Drugs Industries (from A to... Z)
- Public or non-profit organizations (60%)

Financial interests

- None

Individual interests

- Paid Consultant for GSK (post-marketing projects)
- Speaker fees from Amgen and NHS Health Authorities

Efficacy measures in CT (1)

A small icon in the top right corner consisting of a grid of small squares, resembling a calendar or a data table.

- (Regular) Marketing approval requires substantial evidence about safety and efficacy
- Efficacy= Clinical benefit= Life prolongation or Life improvement
- The true/final endpoints are: Survival and/or better (quality of) life

Efficacy measures in oncology

A small, faint icon in the top right corner of the slide, consisting of a grid of small squares, possibly representing a data table or a presentation navigation element.

- Biologic activity in Phase II CT (Response rate, quality and duration of response)
- Survival or improvement in patients' symptoms in Phase III RCT
- DFS in adjuvant setting
- In specific circumstances: impressive/outstanding tumor-related outcomes (complete response with reasonable duration)
- **(HR)-QoL to support tumor shrinking or toxicity or symptoms**
(EMA: either in Phase II/III as primary endpoint, but justified case per case)

Surrogate endpoints for efficacy in CT

A small icon in the top right corner consisting of a grid of small squares, resembling a spreadsheet or a data table.

- When the objective is "to cure": RR (CR), TTP
- When the objective is "to prevent": incidence, type of recurrence (site, symptomatic status), DFS
- When the objective is "palliation": survival benefit, ad-hoc (compound) Clinical Benefit Measure (CBM), tumor response



FDA and EMEA: an evaluation

- FDA: *JR Johnson et al*, JCO 2003; 7: 1404-1411
- EMEA: *S. Garattini, V. Bertelè*, BMJ 2002; 325:269-271

Approval of Oncology drugs: FDA

A small icon in the top right corner consisting of a grid of small squares, some of which are filled with different colors or patterns, resembling a data visualization or a UI element.

- Evaluation of endpoints used by FDA over the last 13 years
- 71 oncology drug applications (1990-2002)
- Tumor response endpoints in 26/57 (46%) RA applications
- Tumor response endpoints in 12/14 (86%) AA applications!

No approvals were based on HRQOL measures...!

A small, partially visible table icon in the top right corner of the slide. It appears to be a grid with multiple rows and columns, possibly representing a data table or a table of contents.

Approval of Oncology drugs: EMEA

- Evaluation of endpoints used by EMEA over the last 6 years
- 14 "new" oncology drug applications (1995-2000)
- Most of the first applications in second/third lines
- Tumor response endpoints in 6/14 (43%) applications

No approvals were based on HRQOL measures...!

Reasons for NOT using HR-QoL



- Cumbersome and costly
- Complex methodological and statistical methods (compliance, missing, timing)
- Questionable “clinical” validity of questionnaires
- Difficult to “interpret” (meaning of findings)
- Lack of blinding/masking

PROBLEM: The alternatives are worse

Surrogates for QoL



- **Unrealistic survival benefits:** In most phase III CT in advanced disease, survival benefit (i.e., difference between arms) is about 7-9 weeks (median)
- **Biased tumor-based response:** most studies are SAT with no masking
- **Unvalidated CBM:** Composite endpoints to surrogate Quality of Life or "symptomatic" clinical benefit improvement

The questions about HR-QoL

A small, faint grid icon located in the top right corner of the slide, consisting of a 4x4 grid of small squares.

Do the HR-QoL measures have a place in the list of potential endpoints to be used in the clinical research setting?

Do HR-QoL measures have an added value when used together with traditional clinical endpoints?

Examples



- The contribution of HR-QoL measures to select optimal treatment in breast cancer patients (PJ Goodwin et al, JCO 2003; 95: 263-)
- The effects of systemic therapy on health-related QoL in advanced breast cancer (A: Bottomley et al, Lancet Oncol 2003; 3: 260)
- The added value of HR-QoL measures in advanced breast cancer patients (*Fossati et al, Breast Cancer Res Treat, In Press*)



H-RQOL measurement in RCTs in breast cancer-taking stock

PJ Goodwin, JT Black, LJ Bordelau, PA Ganz

JCO 2003; 95: 263-

- Primary management (8): QoL provided added value,...
- Adjuvant setting (7): QoL did not influence...
- Metastatic disease (20): QoL provided little information,...
- Symptom control/supportive care (11): QoL guided treatment...
- Psychosocial interventions (20): QoL was essential,...



QoL in patients undergoing systemic therapy for advanced breast cancer

A Bottomley and P. Therasse

Lancet Oncol 2003; 3:620-

- In 19 RCTs,...most (12) on chemotherapy,... and as secondary endpoint (15),...
- Only 7 reported significant differences in HRQOL,...
- Several limitations were identified with methods,...
- Findings provide some information BUT limitations limit value,...

Some approaches are proposed to improve design

A small, faint grid icon consisting of a 4x4 array of squares, located in the top right corner of the slide.

HR-QoL in RCTs on ABC

- **TITLE:** QoL in RCTs of cytotoxic or hormonal treatment of advanced breast cancer patients. Is there added value?
- **AUTHORS:** Fossati R, Confalonieri C, Mosconi P, Pistotti V, Apolone G
- **OBJECTIVE:** to verify if the provision of HR-QoL data (in addition to the more traditional clinical endpoints) might allow to better select treatment regimen (evaluation of the added value of HR-QoL in RCTs)

HR-QoL in RCTs (2)



• METHODS:

- Identification and retrieval of RCTs on advanced breast cancer with HR-QoL assessment
- Double, independent evaluation of contents
- Description of methods, tools, Authors' conclusions
- Evaluation of clinical added value

• **DATA:** 252 papers identified, 33 RCTs eligible (10.791 pts)

• **RESULTS:** Descriptive (how QoL was assessed) and evaluative (whether QoL had an effect on treatments selection)

Clinical added value

A small icon in the top right corner consisting of a grid of small squares, resembling a data table or a calendar grid.

PRIMARY INDICATORS:

- Proportion of studies (or patients) where the results from the HR-QoL evaluation help take a final decision about the treatments value/efficacy (in addition to the findings from clinical endpoints)

SECONDARY INDICATORS:

- Original statements (in the paper) by Authors expliciting their decision making process for choosing the best treatment opinion (whether they chose treatment because of HR-QoL data)

OPERATIONALLY:

- A matrix formed by clinical (efficacy and safety) and HR-QoL (questionnaires scores) findings

Descriptive results



Type of study

- 13 hormonal/20 chemotherapy
- 19 first/14 second line
- 26 different/7 same drugs

Type of HR-QoL questionnaires

17 different tools used in 33 papers (11 only once)

- LASA (Priestman and Baum) questionnaires: 10
- EORTC:10
- RSCL:6
- Spitzer:4
- FACT: 3
- FLIC:3
- Others:10

Descriptive results (2)

A small, partially visible table icon in the top right corner of the slide. It appears to be a grid with multiple rows and columns, but the content is too small to read.

End-points

- In all RCTs at least one clinical outcomes (RR, TTP/TTF, OS)
- In 7/33 RCTs QoL as primary endpoint
- In 7/33 a-priori identification of target domains

Results: clinical and QoL measures



Statistical significant (<0.05%)

At least one Clinical (RR,TTP,TTF,OS)	18/33	54%
QOL measures (selected)	8/33	24%
QOL measures in primary	2/7	29%

Results: Authors point-of-view

A small, partially visible table icon in the top right corner of the slide. It appears to be a grid with multiple rows and columns, possibly representing a data table or a table of contents, but the content is too small to read.

In 12 RCTs Authors used QoL findings in the Abstract to summarize conclusions

12/33 36%

Results: Clinical added value



CLINICAL EFFICACY AND TOXICITY	<u>HR-QoL DIFFERENCE</u>		TOTAL
	Yes	No	
Better clinical efficacy and better toxicity	1	2	3
Better clinical efficacy but worse toxicity	0	9	9
Better clinical efficacy and same toxicity	1	4	5
Same clinical efficacy but different toxicity	4	4	8
Same clinical efficacy and same toxicity	2	5	7
TOTAL	8 (2828)	24 (7507)	32 (10355)

Results: a synthesis



DESCRIPTIVE PART:

- very few information in papers about relevant methodological aspects (analysis plan, sample size, statistical methods, compliance)
- tools and methods etherogeneity
- poor strategies to minimize and "treat" missing data

SECONDARY INDICATORS: in 12/33 papers, in the Abstract Authors used HR-QoL data as part of their decision making process for recommending the best regimen

PRIMARY INDICATORS: possible alternative interpretations

Added value results (to be discussed)

A small icon in the top right corner consisting of a grid of small squares, resembling a data table or a presentation navigation element.

- **Half empty:** Added value of HR-QoL in this set of data: rather unsatisfactory
 - only 8/33 positive QoL results
 - when significant differences in toxicity only 4/17 positive results, and only 1/5 when equivalence
- **Half full** Added value of HR-QoL in this set of data: rather satisfactory
 - 8/33 positive results! (with very obsolete questionnaires!)
 - good capability to identify regimens in uncertain situation (6/15)

A small, partially visible table icon in the top right corner of the slide. It shows a grid of cells with some text and numbers, but it is mostly cut off and too small to read.

More common limitations in the 3 reviews

- (A priori) hypothesis tested
- Rationale of measures choice
- Measures properties
- CT design (randomization, sample size, masking)
- Study implementation (mode, timing, compliance)
- (Statistical) data analysis (missing, multiple testings)
- Results presentation and reporting

Conclusions (1)



- HR-QoL has a potential role but the field is still plagued by conceptual, methodological and logistic problems
- Simpler alternatives (TRB, CBM, etc) are worse (in terms of methodology, validity, interpretability) but are more accepted by clinicians, researchers and regulators

Conclusions (2)

A small, faint grid icon located in the top right corner of the slide, consisting of a 4x4 grid of small squares.

- EMEA and FDA are “skeptical” about the value of PROs and HR-QoL as a potential efficacy (“symptomatic” clinical benefit) endpoint in anti-cancer-drugs
- In the past, both Agencies have chosen the approach of not publishing general recommendations that would *prescribe* methodological standards (now, in preparation)